

CAPriCORN Publication and Presentation Policy

The Chicago Area Patient-Centered Outcomes Research Network (CAPriCORN) Publication and Presentation policy allows CAPriCORN to approve manuscripts and monitor the progress of all CAPriCORN-related publications and presentations. This policy pertains to studies and public health projects that use the CAPriCORN infrastructure.

The CAPriCORN Central team tracks and reports publications during development; we require that our Steering Committee shall be provided the opportunity to review and comment on draft articles, posters, oral presentations, or manuscripts prior to submission for publication or distribution.

This CAPriCORN Publication Policy allows the network to review manuscripts and presentations to ensure that the CAPriCORN infrastructure is appropriately described and adequately acknowledged.

Presentations and publications derived from data produced or collected by CAPriCORN include:

- Manuscripts developed with a CAPriCORN SC member as an author.
- Manuscripts developed by CAPriCORN-affiliated members. Affiliated members include individuals supported by CAPriCORN funds and individuals who are affiliated with any of the CAPriCORN sites who include CAPriCORN data in presentations/ manuscripts.
- Manuscripts produced using data provided by CAPriCORN.
- Presentations and posters produced using data provided by CAPriCORN.

A. Responsibilities of Authorship

Authorship on manuscripts arising from CAPriCORN data or resources should be granted to individuals who are responsible for and knowledgeable about the reported research. This is usually limited to individuals who made significant contributions to the work. The Uniform Requirements for Manuscripts Submitted to Biomedical Journals that was established by the International Committee of Medical Journal Editors ([ICMJE](#)) sets relatively high standards. The ICMJE recommends that authorship be based on the following 4 criteria:

1. Substantial contributions to the conception or design of the work; or the acquisition, analysis, or interpretation of data for the work; **AND** 2. Drafting the work or reviewing it critically for important intellectual content; **AND** 3. Final approval of the version to be published; **AND** 4. Agreement to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

Those designated as authors should meet all four criteria for authorship, and all who meet the four criteria should be designated as authors. Individuals meeting the first criteria should be offered the opportunity to be involved in criteria 2 – 4.

B. Authorship and Publications

Authorship and publications resulting from CAPriCORN infrastructure and research extend beyond the scholarly works generally highlighted, including:

- Scientific/research products, including presentations/posters for scientific meetings and manuscripts submitted to peer-reviewed journals (invited or author-initiated/submitted),
- Pre-publications,
- Local research presentations/posters,
- Lay publications/presentations,
- Guidance documents, including strategic plans, policies and procedures,
- Supporting materials, including aggregate tables/results, surveys and questionnaires, and
- Promotional materials, including press releases, websites, public email announcements, social media content, brochures and educational materials.

As appropriate, authorship for these publications and presentations will include the writing team for the paper listed as individual authors with a statement referring to the study group (e.g., Doe J, Smith B, Brown C for the CAPriCORN Study Group). All presentations, publications and documents that involve use of CAPriCORN data and/or resources will reference “CAPriCORN” in their acknowledgements ([see section C](#)).

Additionally, CAPriCORN approves of consortium authorship, crediting the network as “Chicago Area Patient-Centered Outcomes Research Network ([CAPriCORN](#)).”

C. Acknowledging CAPriCORN in Publications

For most articles, the text below is appropriate:

The findings reported in this [work, publication, article, report, presentation, etc.] were enabled through a collaboration with the Chicago Area Patient-Centered Outcomes Research Network ([CAPriCORN](#)). CAPriCORN is a partnership between healthcare and research institutions that provides data through a federated harmonized common data model and works jointly with a Patient Community Advisory Committee, community-based organizations (CBOs), and non-profit organizations committed to enabling and delivering patient-centered clinical research and public health projects.

We acknowledge CAPriCORN's partners, the Chicago Area Institutional Review Board (CHAIRb), which serves as the central IRB of record for CAPriCORN-supported research, and the Medical



Research Analytics and Informatics Alliance (MRAIA), which serves as the network's honest data broker.

For projects with data returned prior to 12/31/2021, please also include:

This work was supported by Patient Centered Outcomes Research Institute (PCORI) CDRN-1306-04737-IC.

D. Describing specific health system findings in publications

Without explicit approval, CAPriCORN site names must be de-identified when describing site-specific findings in publications (e.g., Site 1, Site 2, Site 3).

Any language specifically de-identifying sites must first be reviewed and authorized by the CAPriCORN Steering Committee.

E. Documenting CAPriCORN Publications & Ensuring Appropriate Communication

The study PI for CAPriCORN-affiliated studies must acknowledge receipt and review of the CAPriCORN publication policy with the CAPriCORN Central team and agree to adhere to the processes described in this document.

A final draft of any manuscript or presentation involving CAPriCORN-generated data must be submitted to CAPriCORN prior to submission for review by the Steering Committee. The purpose of the review is to ensure that CAPriCORN is appropriately acknowledged and that data are not misrepresented in the manuscript. Please allow the Steering Committee up to 10 business days for review of a manuscript before submission. If the committee does not respond within 10 days, authors should consider this as approval to submit.

If language related to CAPriCORN is adjusted after CAPriCORN approval (e.g., for a resubmission), the manuscript should be resubmitted to the CAPriCORN Steering Committee for re-review.

F. Dispute Resolution

Unmanaged or poorly managed disputes among CAPriCORN partners, sites, workgroups, committees and/or members can minimize trust and productivity, and thus diminish the effectiveness of the network. In addition to ensuring that all groups and individuals are meeting expectations and adhering to established CAPriCORN policies and procedures, the Coordinating Committee will act on behalf of the Steering Committee to identify and negotiate disputes. The Coordinating Committee, or an individual identified by the CC, may utilize management approaches, such as compromise or collaboration, in attempts to resolve identified dispute. If resolution cannot be achieved by the Coordinating Committee



or its designee, recommendations regarding corrective actions will be submitted to the Steering Committee for consideration. Any one aware of disputes within CAPriCORN is required to report them to the PI or Coordinating Committee.

Disputes over authorship should be discussed by the authors themselves or the work group lead (e.g., Informatics, Cohort Workgroup, etc.). If necessary, the Steering Committee will be available for final dispute resolution.

G. Intellectual Property

Intellectual property rights within CAPriCORN are controlled by the Contract between funders and NU and the Subcontracts between NU and each Subcontract site.

Further details regarding this policy are available in the Operations Policies and Procedures document, available upon request.